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Technology Center 2100

Robert Moll, Reg. No. 33,741

U.S. PATENT AND TRADEMARK OFFICE

In re Application of: Norman Ken Ouchi

Examiner: Unknown

Title: Workflow Systems and Methods for

Art Unit: 2154

Project Management and Information

Attorney Docket No. Solectron 721

AC 23

Management

Application No. 10/037,545

Filing date: December 21, 2001

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Examiner:

This Information Disclosure Statement is submitted:

<u>X</u> under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

•		under 37 CFR 1.97(c) together with either:
		a certification under 37 CFR 1.97(e),
- ·• - ·- ·	•	Each item of information contained in the information disclosure
		statement was first cited in a communication from a foreign
		patent office in a counterpart foreign application not more than
		three months prior to the filing of the information disclosure
		statement, or
•		a \$180.00 fee under 37 CFR 1.17(p), or
		(After the CFR 1.97(b) time period, but before final action or notice of
		allowance, whichever occurs first)
		under 37 CFR 1.97(d) together with a:
		Certification under 37 CFR 1.97(e), and
		a petition under 37 CFR 1.97(d)(2)(ii), and
-		a \$130.00 petition fee set forth in 37 CFR 1.17(i)
		(Filed after final action or notice of allowance, whichever occurs first,
•		but before payment of the issue fee)
	<u>X</u>	Applicant(s) submit an information disclosure statement and PTO/SB/08A
		form(s), and/or PTO/SB/08B form(s)
		Applicant(s) submit copies of patents, publications, and/or other
	inforr	nation to be reviewed in examination for which there may be a duty to
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	<u>X</u>	Applicant(s) submitted copies of such patents, publications, or other
	inforr	nation in an information disclosure in earlier US Patent No. <u>5,978,836</u> ,
	which	n is relied on for an earlier effective filing date under 35 USC 120

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Please call if you have a question, comment or it will expedite prosecution.

Respectfully submitted,

Robert Moll

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1173 St. Charles Court

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known						
Application Number	10/037,545					
Filing Date	12-21-2001					
First Named Inventor	Norman Ken Ouchi					
Art Unit	2154					
Examiner Name	Unknown					
Attomey Docket Number	Solectron 721					

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Signature	Considered	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of 6

Filing Date 12-21-2001 First Named Inventor Norman Ken Ouchi Art Unit 2154 (Use as many sheets as necessary) Unknown **Examiner Name**

Application Number

Attorney Docket Number

U. S. PATENT DOCUMENTS Cite Examiner **Document Number Publication Date** Name of Patentee or Pages, Columns, Lines, Where No.1 Initials* MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Number-Kind Code² (F known) Figures Appear ^{US-}5,940,829 08-17-1999 Tsuiki et al. US- 5,930,801 07-27-1999 Falkenhainer et al. US-5,930,512 07-27-1999 Boden et al. ^{US-} 5,920,861 07-06-1999 Hall et al. ^{US-} 5.920,725 07-06-1999 Ma et al. ^{US-} 5,917,912 06-29-1999 Ginter et al. US-5,915,019 06-22-1999 Ginter et al. US-5,910,987 06-08-1999 Ginter et al. ^{US-} 5,907,705 Technology Center 2100 05-25-1999 Carter US-5,903,877 05-11-1999 Berkowitz et al. ^{US-} 5,892,905 04-06-1999 Brandt et al. ^{US-} 5,892,900 04-06-1999 Ginter et al. ^{US-} 5,890,149 **Schmonsees** 03-30-1999 US-5,878,403 03-02-1999 DeFrancesco et al. US-5,878,398 03-02-1999 Tokuda et al. US-5,874,954 02-23-1999 Kilmer et al. US- 5,870,719 Maritzen et al. 02-09-1999 ^{US-} 5.870,717 Wiecha et al. 02-09-1999 US-

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Application Number 10/037,545
Filing Date 12-21-2001
First Named Inventor Norman Ken Ouchi
Art Unit 2154
Examiner Name Unknown
Attomey Docket Number Solectron 721

Sheet 3 of 6

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
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First Named Inventor Norman Ken Ouchi

Art Unit 2154

Examiner Name Unknown

Attorney Docket Number Solectron 721

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	No.1	, ! •	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages	⊤ 6
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Attomey Docket Number

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U. S. PATENT DOCUMENTS Examiner Publication Date Name of Patentee or Pages, Columns, Lines, Where **Document Number** Cite No.1 MM-DD-YYYY **Applicant of Cited Document** Relevant Passages or Relevant Initials* Figures Appear Number-Kind Code² (F known) ^{US-} 5,586,252 12-17-1996 Barnard et al. ^{US-} 5,557,515 09-17-1996 Abbruzzese et al. 08-20-1996 US- 5,548,506 Srinivasan US-5,535,322 07-09-1996 Hecht ^{US-} 5,513,101 04-30-1996 Pinsky et al. ^{US-} 5,499,364 03-12-1996 Klein et al. 02-06-1996 Swenson et al. US-5,490,097 US-5,469,353 Pinsky et al. 11-21-1995 FEB 2 0 2004 ^{US-} 5,466,159 Clark et al. 11-14-1995 ^{US-} 5,457,797 10-10-1995 Butterworth et al. Technology Center 2100 ^{US-} 5,436,730 Hube 07-25-1995 ^{US-} 5,329,626 07-12-1994 Klein et al. ^{US-} 5,311,438 05-10-1994 Sellers et al. US- 5,191,522 03-02-1993 Bosco et al. US- 5,182,705 01-26-1993 Barr et al. 05-12-1992 US- 5,113,393 Kam et al. ^{US-} 5,040,142 Mori et al. 08-13-1991 ^{US-} 4,799,156 01-17-1989 Shavit et al.

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03-05-1985

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		ALEXANDER SCHILL, Distributed System and Execution Model for Office Environments, Computer Communications, October, 1991, pages 478-488, No. 8, London, GB	
		TRAMMELL, Work Flow Without Fear, McGraw Hill, Inc. Byte, April, 1996, pages 3-8, US	
		PCT International Search Report (counterpart of Parent Application No. 08/901,539 now US Patent No. 5,978,836)	
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